Toshihiro Kasuga and David Jewitt

#### 8.1 Introduction

Physical disintegration of asteroids and comets leads to the production of orbit-hugging debris streams. In many cases, the mechanisms underlying disintegration are uncharacterized, or even unknown. Therefore, considerable scientific interest lies in tracing the physical and dynamical properties of the asteroidmeteoroid complexes backwards in time, in order to learn how they form.

Small solar system bodies offer the opportunity to understand the origin and evolution of the planetary system. They include the comets and asteroids, as well as the mostly unseen objects in the much more distant Kuiper belt and Oort cloud reservoirs. Observationally, asteroids and comets are distinguished principally by their optical morphologies, with asteroids appearing as point sources, and comets as diffuse objects with unbound atmospheres (comae), at least when near the Sun. The principal difference between the two is thought to be the volatile content, especially the abundance of water ice. Sublimation of ice in comets drives a gas flux into the adjacent vacuum while drag forces from the expanding gas are exerted on embedded dust and debris particles, expelling them into interplanetary space. Meteoroid streams, consisting of large particles ejected at low speeds and confined to move approximately in the orbit of the parent body, are one result.

When the orbit of the parent body intersects that of the Earth, meteoroids strike the atmosphere and all but the largest are burned up by frictional heating, creating the familiar meteors (Olmsted, 1834). Later, the phenomenon has been realized as ablation by shock wave radiation heating (Bronshten, 1983). The first established comet-meteoroid stream relationships were identified by G. Schiaparelli and E. Weiss in 1866 (see Ceplecha et al., 1998). In the last twenty years a cometary meteoroid stream theory has been established, enabling accurate shower activity prediction of both major (e.g. Leonids, Kondrat'eva et al., 1997; McNaught and Asher, 1999) and minor showers (2004 June Boötids, Vaubaillon et al., 2005). This theory deals with the perturbed motion of streams encountering the Earth after the ejection from relevant parent bodies. This improved theory has provided striking opportunities for meteor shower studies of orbital trajectories, velocities and compositions, resulting in a revolution in meteor science.

Some meteoroid streams seem to be made of debris released from asteroids. The notion that not all stream parents are comets is comparatively old, having been suggested by Whipple (1939a,b, 1940) (see also Olivier, 1925; Hoffmeister, 1937). The Geminid meteoroid stream (GEM/4, from Jopek and Jenniskens, 2011)<sup>1</sup> and asteroid 3200 Phaethon are probably the best-known examples (Whipple, 1983). In such cases, it appears unlikely that ice sublimation drives the expulsion of solid matter, raising following general question: what produces the meteoroid streams? Suggested alternative triggers include thermal stress, rotational instability and collisions (impacts) by secondary bodies (Jewitt, 2012; Jewitt et al., 2015). Any of these, if sufficiently violent or prolonged, could lead to the production of a debris trail that would, if it crossed Earth's orbit, be classified as a meteoroid stream or an "Asteroid-Meteoroid Complex", comprising streams and several macroscopic, split fragments (Jones, 1986; Voloshchuk and Kashcheev, 1986; Ceplecha et al., 1998).

The dynamics of stream members and their parent objects may differ, and dynamical associations are not always obvious. Direct searches for dynamical similarities employ a distance parameter,  $D_{SH}$ , which measures the separation in orbital element space by comparing q (perihelion distance), e (eccentricity), *i* (inclination),  $\Omega$  (longitude of the ascending node), and  $\omega$  (argument of perihelion) (Southworth and Hawkins, 1963). A smaller  $D_{SH}$  indicates a closer degree of orbital similarity between two bodies, with an empirical cut-off for significance often set at  $D_{\rm SH} \lesssim 0.10 - 0.20$  (Williams et al., 2019, Section 9.2.2). The statistical significance of proposed parentshower associations has been coupled with  $D_{SH}$  (Wiegert and Brown, 2004; Ye et al., 2016). Recent models assess the longterm dynamical stability for high-i and -e asteroids. Ohtsuka et al. (2006, 2008a) find the Phaethon-Geminid Complex (PGC) and the Icarus complex together using as criteria the  $C_1$  (Moiseev, 1945) and  $C_2$  (Lidov, 1962) integrals. These are secular orbital variations expressed by

$$C_1 = (1 - e^2)\cos^2(i) \tag{8.1}$$

$$C_2 = e^2 \left( 0.4 - \sin^2(i) \sin^2(\omega) \right). \tag{8.2}$$

So-called time-lag theory is utilized to demonstrate longterm orbital evolution of complex members. When a streamcomplex is formed, the orbital energies ( $\propto a^{-1}$ , where *a* is the semimajor axis) of ejected fragments are expected to be slightly different from the energy of the precursor. The motions of the released objects are either accelerated or decelerated relative to the precursor under gravitational perturbations (possibly including non-gravitational perturbations), effectively causing a time lag,  $\Delta t$ , in the orbital evolution to arise. Both  $C_1$  and  $C_2$  are approximately invariant during dynamical evolution,

<sup>1</sup> IAU Meteor Data Center, Nomenclature.

Complex	Object	$a^a$	$e^b$	i <sup>c</sup>	$q^d$	$\omega^e$	$\Omega^f$	$Q^{\mathrm{g}}$	$P_{\rm orb}^h$	$C_1^i$	$C_2^i$	$T_{\rm J}^{j}$
Geminids	Phaethon	1.271	0.890	22.253	0.140	322.174	265.231	2.402	1.43	0.178	0.274	4.509
	2005 UD	1.275	0.872	28.682	0.163	207.573	19.746	2.387	1.44	0.184	0.267	4.504
	1999 YC	1.422	0.831	38.226	0.241	156.395	64.791	2.603	1.70	0.191	0.234	4.114
Quadrantids	2003 EH1	3.123	0.619	70.838	1.191	171.361	282.979	5.056	5.52	0.066	0.146	2.065
-	96P/Machholz 1	3.018	0.959	59.975	0.125	14.622	94.548	5.911	5.24	0.020	0.324	1.939
Capricornids	169P/NEAT	2.604	0.767	11.304	0.607	217.977	176.219	4.602	4.20	0.396	0.227	2.887
-	P/2003 T12	2.568	0.776	11.475	0.575	217.669	176.465	4.561	4.12	0.382	0.232	2.894
	2017 MB <sub>1</sub>	2.372	0.753	8.508	0.586	264.628	126.974	4.158	3.65	0.424	0.215	3.071
Taurids	2P/Encke	2.215	0.848	11.781	0.336	186.542	334.569	4.094	3.30	0.269	0.287	3.025
Taurids-Perseids	1566 Icarus	1.078	0.827	22.852	0.187	31.297	88.082	1.969	1.12	0.268	0.246	5.296
	2007 MK <sub>6</sub>	1.081	0.819	25.138	0.196	25.466	92.887	1.966	1.12	0.270	0.246	5.284
Phoenicids	2003 WY <sub>25</sub>	3.046	0.685	5.9000	0.961	9.839	68.931	5.132	5.32	0.525	0.188	2.816

Table 8.1. Orbital properties

Notes: Orbital data are obtained from NASA JPL HORIZONS (https://ssd.jpl.nasa.gov/horizons.cgi)

<sup>*a*</sup> Semimajor axis (AU)

<sup>b</sup> Eccentricity

<sup>c</sup> Inclination (degrees)

<sup>*d*</sup> Perihelion distance (AU)

<sup>e</sup> Argument of perihelion (degrees)

<sup>f</sup> Longitude of ascending node (degrees)

<sup>*g*</sup> Aphelion distance (AU)

<sup>*h*</sup> Orbital period (yr)

<sup>*i*</sup> Dynamical invariants:  $C_1 = (1 - e^2) \cos^2(i), C_2 = e^2 (0.4 - \sin^2(i) \sin^2(\omega))$ 

<sup>j</sup> Tisserand parameter with respect to Jupiter (asteroids with  $T_J > 3.08$ , comets with  $T_J < 3.08$ ;  $a < a_J(5.2 \text{ AU})$ )

distinguishing the complex members. The PGC members (Phaethon, 2005 UD, 1999 YC), for example, dynamically follow the Lidov-Kozai mechanism based on secularly perturbed motion of the asteroids (Kozai, 1962).

Most known parent bodies are near-Earth Objects (NEOs), including both asteroids and comets. The comets include various sub-types: Jupiter-family comets (JFCs), Halley-type comets (HTCs) and Encke-type comets (Ye, 2018) (Table 8.1). A classification of parents and their associated streams has been proposed based on their inferred evolutionary stages (Babadzhanov and Obrubov, 1987, 1992a,b). Some streams originating from asteroids (e.g. Phaethon), or from comets (e.g. 96P, 2P) are the most evolved. For example, the Geminids, Quadrantids (QUA/10) and Taurid Complex (TAU/247) show stable secular variation of the orbital elements under the mean motion resonance with Jupiter and the Kozai resonance, producing annual meteor showers. On the other hand, young streams are usually from JFCs and HTCs. JFC orbits, in particular, are chaotically scattered by frequent close encounters with Jupiter, tending to produce irregular streams, e.g. Phoenicids (PHO/254). HTCs orbit with widespread inclinations, including retrograde orbits that are absent in the JFCs, and may also generate regular showers, e.g. Leonids (LEO/13) and Perseids (PER/7).

Non-gravitational force effects can be important in the evolution of stream complexes but are difficult to model, since they depend on many unknowns such as the size, rotation, and thermal properties of the small bodies involved. In the last decade, video- and radar- based surveys of meteors have also been used to trace the trajectories back to potential parent NEOs, and so to find new stream complexes (e.g. Jenniskens, 2008a; Brown et al., 2008a,b, 2010; Musci et al., 2012; Weryk and Brown, 2012; Rudawska et al., 2015; Jenniskens et al., 2016a,b; Jenniskens and Nénon, 2016; Ye et al., 2016) (Reviewed in Jenniskens, 2017).

Meteor spectroscopy provides some additional constraints on the composition of meteoroids (Millman and McKinley, 1963; Millman, 1980). Spectroscopy is typically capable of obtaining useful data for meteors having optical absolute magnitudes +3 to +4 or brighter, corresponding to meteoroid sizes  $\gtrsim 1 \text{ mm}$ (Lindblad, 1987; Ceplecha et al., 1998; Borovička et al., 2010). Meteor spectra consist primarily of atomic emission lines and some molecular bands in the visible to near-infrared wavelengths. The commonly identified neutral atoms are Mg I, Fe I, Ca I, and Na I, while the singly ionized atomic emissions of Ca II and Mg II also appear in some fast-moving meteors (e.g. Leonids with geocentric velocity  $V_g \sim 72 \text{ km s}^{-1}$  impact much more quickly than the Geminids, with  $V_g \sim 35 \text{ km s}^{-1}$ ). The abundances, the excitation temperatures and the electron densities can be deduced for each spectrum, assuming the Boltzmann distribution for electron energies, but the measurements are difficult, and the resulting elemental abundances and/or intensity ratios (e.g. Nagasawa, 1978; Borovička, 1993; Borovička et al., 2005; Kasuga et al., 2005b) are scattered (summarized in Ceplecha et al., 1998; Kasuga et al., 2006b). Generally, most meteors are found to have solar abundance within factors of  $\sim$ 3–4. Some elements are noticeably underabundant, probably affected by incomplete evaporation (Borovička et al., 1999; Trigo-Rodríguez et al., 2003; Kasuga et al., 2005b). In particular, sodium (Na) is a relatively abundant and moderately volatile element that is easily volatilized. As a result, the abundance

Complex	Object	$D_e^a$	$p_v^b$	$P_{\rm rot}^c$	$B - V^d$	$V - R^d$	$R - I^d$	$a/b^e$
Geminids	Phaethon <sup>1</sup>	5–6	0.09-0.13	3.604	$0.59 \pm 0.01$	$0.35 \pm 0.01$	$0.32 \pm 0.01$	~1.45
	2005 UD <sup>2</sup>	$1.3 \pm 0.1$	$0.11^{f}$	5.249	$0.66\pm0.03$	$0.35\pm0.02$	$0.33\pm0.02$	$1.45\pm0.06$
	1999 YC <sup>3</sup>	$1.7 \pm 0.2^{g}$	$0.09 \pm 0.03^g$	4.495	$0.71\pm0.04$	$0.36\pm0.03$	_	$1.89\pm0.09$
Quadrantids	2003 EH <sup>4</sup> <sub>1</sub>	$4.0 \pm 0.3$	$0.04^{f}$	12.650	$0.69\pm0.01$	$0.39\pm0.01$	$0.38\pm0.01$	$1.50\pm0.01$
	96P/Machholz 1 <sup>5</sup>	6.4	$0.04^{f}$	6.38	-	$0.40 \pm 0.03$	-	1.4 ≤
Capricornids	169P/NEAT <sup>6</sup>	$4.6\pm0.6$	$0.03\pm0.01$	8.410	$0.73\pm0.02$	$0.43\pm0.02$	$0.44\pm0.04$	$1.31\pm0.03$
_	P/2003 T12	_	_	_	_	_	_	_
	2017 MB <sub>1</sub> <sup>7</sup>	0.52	_	6.69	_	_	_	$\sim 1.2$
Taurids	2P/Encke <sup>8</sup>	$4.8\pm0.4$	$0.05\pm0.02$	11	$0.73\pm0.06$	$0.39\pm0.06$	_	$1.44\pm0.06$
Taurids-Perseids	1566 Icarus <sup>9</sup>	1.0-1.3	$0.30 - 0.50^{h}$	2.273	$0.76\pm0.02$	$0.41\pm0.02$	$0.28\pm0.02$	1.2-1.4
	2007 MK <sub>6</sub>	$0.18^{i}$	$0.40^{f}$	_	_	_	_	_
Phoenicids	2003 WY <sub>25</sub> <sup>10</sup>	≤ 0.32	0.04 <sup><i>f</i></sup>	-	-	-	-	-

#### Table 8.2. Physical properties

Notes:

<sup>a</sup> Effective diameter (km)

<sup>b</sup> Geometric albedo

<sup>c</sup> Rotational period (hr)

<sup>*d*</sup> Color index. Solar colors are  $B - V = 0.64 \pm 0.02$ ,  $V - R = 0.35 \pm 0.01$  and  $R - I = 0.33 \pm 0.01$  (Holmberg et al., 2006). <sup>*e*</sup> Axis ratio

AXISTALIO

f Assumed value

 $^{g}D_{e} = 1.4 \pm 0.1$  with the assumed  $p_{v} = 0.11$  (Kasuga and Jewitt, 2008).

<sup>h</sup> Extremely high  $p_v > 0.7$  is given  $D_e < 0.8$  km (Mahapatra et al., 1999; Harris and Lagerros, 2002).

<sup>*i*</sup> Estimated from the absolute magnitude H = 20.3 (MPO386777) with the assumed  $p_v = 0.40$ .

<sup>1</sup> Green et al. (1985); Tedesco et al. (2004); Dundon (2005); Ansdell et al. (2014); Hanuš et al. (2016); Taylor et al. (2019)

<sup>2</sup> Jewitt and Hsieh (2006); Kinoshita et al. (2007)

<sup>3</sup> Kasuga and Jewitt (2008); Mainzer et al. (2011); Warner (2017)

<sup>4</sup> Kasuga and Jewitt (2015)

<sup>5</sup>Licandro et al. (2000); Meech et al. (2004); Lamy et al. (2004)

<sup>6</sup> Kasuga et al. (2010); Weissman et al. (2004); A'Hearn et al. (2005); DeMeo and Binzel (2008)

<sup>7</sup> Warner (2018)

<sup>8</sup> Kokotanekova et al. (2017); Lamy et al. (2004); Campins and Fernández (2002); Lowry and Weissman (2007); Fernández et al. (2000)

<sup>9</sup> Gehrels et al. (1970); Veeder et al. (1989); Chapman et al. (1994); Nugent et al. (2015); Miner and Young (1969); Harris (1998); De Angelis (1995); Dundon (2005)

<sup>10</sup> Jewitt (2006)

of Na in meteors is a good indicator of thermal evolution of meteoroids. Heating either during their residence in interplanetary space or within the parent bodies themselves can lead to a sodium depletion.

In this chapter, we give a brief summary of observational results on parents and their associated showers. We discuss the properties of specific complexes, and tabulate their dynamical and physical properties (Tables 8.1 and 8.2). We focus on the main meteoroid streams for which the properties and associations seem the most secure. Numerous additional streams and their less certain associations are discussed in the literature reviewed by Jenniskens (2006, 2008b); Borovička (2007); Ye (2018); Vaubaillon et al. (2019) (see Section 7.5).

#### 8.2 General Properties

To date, twelve objects in the six asteroid-meteoroid complexes have been studied in detail. Figure 8.1 represents their distributions in the semimajor axis versus eccentricity plane, while Figure 8.2 shows semimajor axis versus inclination (Table 8.1 summarizes the orbital properties).

Traditionally, the Tisserand parameter with respect to Jupiter,  $T_J$ , is used to characterize the dynamics of small bodies (Kresak, 1982; Kosai, 1992). It is defined by

$$T_J = \frac{a_J}{a} + 2\left[(1 - e^2)\frac{a}{a_J}\right]^{1/2}\cos(i)$$
(8.3)

where *a*, *e* and *i* are the semimajor axis, eccentricity and inclination of the orbit and  $a_J = 5.2$  AU is the semimajor axis of the orbit of Jupiter. This parameter, which is conserved in the circular, restricted three-body problem, provides a measure of the close-approach speed to Jupiter. Jupiter itself has  $T_J = 3$ . Main belt asteroids have  $a < a_J$  and  $T_J > 3$ , while dynamical comets from the Kuiper belt have  $2 \le T_J < 3$  and comets from the Oort cloud have  $T_J < 2$ . In principle, the asteroids and comets can also be distinguished compositionally. The main belt asteroids are generally rocky, non-icy objects that probably



**Figure 8.1.** Distribution of the parent bodies (large numbered dots) in the semimajor axis versus orbital eccentricity plane (cf. Table 8.1). The distributions of asteroids (fine gray dots) and comets (larger gray dots) are shown for reference. The lines for  $T_J = 3.08$  with  $i = 0^\circ$  (solid black curve) and with  $i = 9^\circ$  (dotted black curve) broadly separate asteroids and comets. Perihelion distances q = 0.25, 0.5 and 1 AU are shown as solid gray curves. (A black and white version of this figure will appear in some formats. For the colour version, please refer to the plate section.)



Figure 8.2. Same as Figure 8.1 but semimajor axis versus inclination. (A black and white version of this figure will appear in some formats. For the colour version, please refer to the plate section.)

formed inside snow-line in the protoplanetary disk, while comets contain a larger ice fraction and formed beyond it. In practice, it is difficult or impossible to measure the compositions of most small bodies in the solar system, so that composition is not often a useful diagnostic.

The use of  $T_J$  as a discriminant breaks down near  $T_J \simeq 3$ , since the definition assumes that Jupiter's orbit is a circle, the gravity of other planets is neglected, and so on. Accordingly, a

functional definition for the boundary employed here is  $T_J \simeq$  3.08 (Jewitt et al., 2015), shown in Figure 8.1, where the solid curve represents  $T_J$  computed assuming  $i = 0^\circ$ , while the dotted curve is the same but with  $i = 9^\circ$  (equal to the average inclination of 516,633 numbered objects in the JPL Horizons database). They are very similar, indicating the definition broadly works independently of *i*. This avoids chaotic cases caused by deviation of the real solar system from the circular, restricted

three-body approximation. Also, comet 2P/Encke with  $T_J \sim$  3.03 and the quasi-Hilda comets with  $T_J \sim$  2.9 – 3.04 are appropriately classified with this criterion.

As seen in Figure 8.1, all of the parent bodies have  $e \gtrsim 0.6$ . Seven objects fall on the right side of the boundary with  $T_J < 3.08$ , corresponding to the region of comets. Mass-loss activity has been directly detected in most of them, although 2003 EH<sub>1</sub> and 2017 MB<sub>1</sub> have yet to show evidence for current activity. Objects on the left side of the diagram are classified in the region of the asteroids, with  $T_J > 3.08$ . In these objects, a range of physical processes appear to drive the mass loss. They have small  $q \lesssim 0.25$  AU and are categorized as near-Sun objects (see Figure 8.1). Recurrent activity of Phaethon at perihelion, including the formation of a tail, has been reported.

In Figure 8.2, 2003 EH<sub>1</sub> and 96P show remarkably high $i \gtrsim 60^{\circ}$ . Five objects with  $a = 1.0 \sim 1.4$  AU have moderate i of  $20^{\circ} \sim 40^{\circ}$ . Another five objects at  $a = 2.2 \sim 3.1$  AU have low-*i*, compatible with those of most main belt asteroids.

#### 8.3 Known Asteroid-Meteoroid Complexes

The physical properties of the main asteroid-meteoroid complexes are listed in Table 8.2, and we review them focusing on the observational evidence.

#### 8.3.1 Geminids – (3200) Phaethon

The Geminid meteor shower is one of the most active annual showers (Whipple, 1939b; Spurný, 1993). The shower currently has zenithal hourly rate (ZHR) – the number of meteors visible per hour under a clear-dark sky ( $\lesssim$  limiting magnitude +6.5) – of ~120 and is expected to continue to increase to a peak ZHR ~ 190 in 2050 as the Earth moves deeper into the stream core (Jones and Hawkes, 1986; Jenniskens, 2006). The Geminids are dynamically associated with the near-Earth asteroid (3200) Phaethon (1983 TB) (Whipple, 1983) (Figure 8.3). A notable orbital feature of both is the small perihelion distance,  $q \sim 0.14$  AU, raising the possibility of strong thermal processing of the surface. Indeed, the peak temperature at perihelion is ~ 1000 K (Ohtsuka et al., 2009).

Spectroscopy of Geminid meteors shows an extreme diversity in Na content, from strong depletion of Na abundance in some to sun-like values in others. Kasuga et al. (2005a) found a huge depletion in the Na/Mg abundance ratio of a Geminid meteor, with a value an order of magnitude smaller than the solar abundance ratio (Anders and Grevesse, 1989; Lodders, 2003). Line intensity ratios of Na I, Mg I, and Fe I emissions of the Geminids also show a wide range of Na line strengths, from undetectable to intense (Borovička et al., 2005). Studies of Geminid meteor spectra, reported since the 1950s (e.g. Millman, 1955; Russell et al., 1956; Harvey, 1973; Borovička, 2001; Trigo-Rodríguez et al., 2003, 2004), mostly fit this pattern.

As a summary for Na in the Geminids in the last decade, Kasuga et al. (2006b) investigated perihelion dependent thermal effects on meteoroid streams. The effect is supposed to alter the metal abundances from their intrinsic values in their parents, especially for temperature-sensitive elements: a good example



**Figure 8.3.** Composite R-band image of Phaethon taken at the University of Hawai'i 2.2-m telescope (UH2.2) on UT 2003 December 19. The full image width is 1' and this is a 3600 s equivalent integration, with Phaethon at heliocentric distance R = 1.60 AU, geocentric distance  $\Delta = 1.39$  AU, and phase angle  $\alpha = 0.3^{\circ}$ . The image shows no evidence of mass-loss activity. From Hsieh and Jewitt (2005).

is Na in alkaline silicate. As a result, meteoroid streams with  $q \leq 0.1$  AU should be depleted in Na by thermal desorption, because the corresponding meteoroid temperature (characterized as blackbody) exceeds the sublimation temperature of alkaline silicates (~ 900 K for sodalite: Na<sub>4</sub>(AlSiO<sub>4</sub>)<sub>3</sub>Cl). For this reason, the Na loss in Geminids ( $q \sim 0.14$  AU) is most likely to be caused by thermal processes on Phaethon itself (see Section 8.6.1).

The parent body Phaethon (diameter 5–6 km, from Tedesco et al., 2004; Taylor et al., 2019) has an optically blue (so-called "B-type") reflection spectrum that distinguishes it from most other asteroids and from the nuclei of comets. Specifically, only  $\sim$ 1 in 23 asteroids is of B-spectral type and most cometary nuclei are slightly reddish, like the C-type asteroids or the (redder) D-type Jovian Trojans.

A dynamical pathway to another B-type, the large main-belt asteroid (2) Pallas, has been reported with  $\sim 2\%$  probability (de León et al., 2010, see also Todorović, 2018). However, the colors of Phaethon and Pallas are not strictly identical, as would be expected if one were an unprocessed chip from the other, although both are blue. Color differences might result from preferential heating and modification of Phaethon, with its much smaller perihelion distance (0.14 AU versus  $\sim 2.1$  AU for Pallas). Recently, Ohtsuka et al. (2006, 2008a) suggested the existence of a "Phaethon-Geminid Complex (PGC)" – consisting of a group of dynamically associated split fragments – and identified the 1 km-sized asteroids 2005 UD and 1999 YC as having a common origin with Phaethon (cf. Figure 8.4). Photometry of 2005 UD and 1999 YC revealed the optical colors (Figures 8.5, 8.6). The former is another rare blue object (Jewitt and



**Figure 8.4.** Dynamical evolution of (3200) Phaethon and 2005 UD for the six orbital elements, representing similar behavior with a time-shift of  $\sim$ 4600 yr. The abscissa shows time in Julian Terrestrial Date (JTD). From Ohtsuka et al. (2006), reproduced with permission © ESO.



**Figure 8.5.** R-band image of 2005 UD in 1800 s exposure recorded using the UH2.2 on UT 2005 November 21. The region shown is  $\sim 150''$  in width and the distances and phase angle of the object were R = 1.59 AU,  $\Delta = 0.96$  AU and  $\alpha = 35.8^{\circ}$ , respectively. From Jewitt and Hsieh (2006).

Hsieh, 2006; Kinoshita et al., 2007) while the latter is spectrally neutral (Kasuga and Jewitt, 2008) (see Section 8.5.1).

The key question is how the Geminid meteoroid stream was produced from Phaethon. The extreme possibilities are that the Geminids are the products of a catastrophic event (for example an energetic collision, or a rotational disruption) or that they are produced in steady-state by continuing mass-loss from Phaethon (Figures 8.5 and 8.6).

In the steady-state case, the entire stream mass,  $M_s \sim 10^{12} - 10^{13}$  kg (Hughes and McBride, 1989; Jenniskens, 1994; Blaauw, 2017)(cf. 1–2 orders larger in Ryabova, 2017), must be released over the last  $\tau \sim 10^3$  years (the dynamical lifetime of the stream, cf. (Jones, 1978; Jones and Hawkes, 1986; Gustafson, 1989; Williams and Wu, 1993; Ryabova, 1999; Beech, 2002; Jakubík and Neslušan, 2015; Vaubaillon et al., 2019, Section 7.5.4)).

**Figure 8.6.** Keck telescope R-band image of 1999 YC on UT 2007 October 12 showing a point source with  $FWHM \sim 0.65$ " in 400 s integration, centered within a frame 40" wide. Heliocentric, geocentric distances and phase angle were R = 2.60 AU,  $\Delta = 1.82$  AU and  $\alpha = 16.4^{\circ}$ , respectively. From Kasuga and Jewitt (2008).

This gives  $dM_s/dt \sim M_s/\tau = 30 - 300 \text{ kg s}^{-1}$ , comparable to the mass loss rates exhibited by active Jupiter family comets. However, while the Jupiter family comets are notable for their distinctive comae of ejected dust, Phaethon generally appears as a point source, devoid of coma or other evidence for on-going mass loss (Chamberlin et al., 1996; Hsieh and Jewitt, 2005; Wiegert et al., 2008) (cf. Figure 8.3).

Recently, this general picture has changed with the detection of mass loss using near-perihelion observations taken with the Solar Terrestrial Relations Observatory (STEREO) spacecraft in 2009, 2012 and 2016 (Jewitt and Li, 2010; Jewitt et al., 2013; Li and Jewitt, 2013; Hui and Li, 2017). In addition to factorof-two brightening at perihelion relative to the expected phasedarkened inverse-square law brightness, a diffuse, linear tail has



**Figure 8.7.** STEREO-A image taken on 2016 August 20 of (a) (3200) Phaethon and tail aligned on the position angles of the anti-solar direction and (b) the whole image sequence on the negative heliocentric velocity vector projected on the sky plane. Geometric parameters are  $R \sim 0.14$  AU, Phaethon-STEREO distance  $\sim 0.9$  AU, and the angle  $\sim 120^{\circ}$ . The projected Sun-Phaethon line (the narrow white line across the left panel) is drawn to better illustrate that the direction of the tail was anti-solar, while the white dotted line across the right panel is the orientation. From Hui and Li (2017). (A black and white version of this figure will appear in some formats. For the colour version, please refer to the plate section.)

been resolved, as shown in Figure 8.7. Because of its close association with the high temperatures experienced at perihelion, the activity is likely to result from thermal fracture or the desiccation of hydrated minerals. The observed particles from Phaethon are micron-sized, and are highly susceptible to solar radiation pressure sweeping. They are rapidly accelerated by radiation pressure and so cannot be retained in the Geminid stream (Jewitt and Li, 2010). In addition, their combined mass,  $\sim 3 \times 10^5$  kg per perihelion, is at least  $10^7 \times$  smaller than the stream mass,  $M_s$  (Jewitt et al., 2013). It is possible that much more mass is contained in larger particles which, however, present a small fraction of the scattering cross-section and which, therefore, are unsampled in the optical data from STEREO.

In this regard, larger particles (sizes > 10  $\mu$ m) were recently reported in thermal emission at 25  $\mu$ m (Arendt, 2014), but evidently contribute little to the optical scattering cross-section. The particles in the stream are estimated to be near mm-scale or larger (e.g. Blaauw, 2017), up to ~1 to 10 cm, as measured in lunar impacts (Yanagisawa et al., 2008; Suggs et al., 2014; Ortiz et al., 2015; Szalay et al., 2018). The limit to optical depth of a Phaethon's trail is  $\leq 3 \times 10^{-9}$  (Jewitt et al., 2018), consistent with those of cometary dust trails  $(10^{-9}-10^{-8})$ , from Sykes and Walker, 1992; Ishiguro et al., 2009) (see also Section 8.6.3). While continued long wavelength observations of Phaethon to detect large particles will be helpful (Jewitt et al., 2015), the true nature of Phaethon and the PGC complex objects may await spacecraft missions resembling NASA's "Deep Impact" (A'Hearn et al., 2005; Kasuga et al., 2006a, 2007a) and JAXA's "DESTINY+" (Sarli et al., 2018).

#### 8.3.2 Quadrantids - 2003 EH1

The Quadrantid meteor shower was first reported in 1835 (Quetelet, 1839) and appears annually in early January. The shower consists of two different components, the so-called

**Figure 8.8.** 2003 EH<sub>1</sub> in a 360 s R-band image taken at Keck on UT 2013 October 2. No coma or tail is visible on the object having an FWHM of 0".86 in the frame of 40" × 25". R = 2.1 AU,  $\Delta = 2.0$  AU and  $\alpha = 27.6^{\circ}$ . From Kasuga and Jewitt (2015).

young and old meteoroid streams, which represent a very short duration of core activity (lasting ~0.5 day) and a broader, longerlived (~4 days) background activity (Wiegert and Brown, 2005; Brown et al., 2010, and references therein). The width of a meteoroid stream depends on its age, as a result of broadening by accumulated planetary perturbations. The small width of the Quadrantid core stream indicates ejection ages of only ~200– 500 years (Jenniskens, 2004; Williams et al., 2004; Abedin et al., 2015), and there is even some suggestion that the first reports of meteoroid stream activity coincide with the formation of the stream. On the other hand, the broader background stream implies larger ages of perhaps ~3,500 years or more (Ohtsuka et al., 1995, 2008b; Kańuchová and Neslušan, 2007).

Two parent bodies of the Quadrantid complex have been proposed. The 4 km diameter Near-Earth Object (196256) 2003 EH<sub>1</sub> (hereafter 2003 EH<sub>1</sub>), discovered on UT 2003 March 6 by the Lowell Observatory Near-Earth-Object Search (LONEOS) (Skiff, 2003), may be responsible for the young core stream (Jenniskens, 2004; Williams et al., 2004; Wiegert and Brown, 2005; Babadzhanov et al., 2008; Jopek, 2011; Abedin et al., 2015). The orbit of 2003 EH<sub>1</sub> has a = 3.123 AU,  $e = 0.619, i = 70^{\circ}.838$  and q = 1.191 AU (Table 8.1). The  $T_{\rm J}(=2.07)$  identifies it as a likely Jupiter-family comet, albeit one in which on-going activity has yet to be detected (Koten et al., 2006; Babadzhanov et al., 2008; Borovička et al., 2010; Tancredi, 2014). The steady-state production rates  $\lesssim 10^{-2}$  kg  $s^{-1}$  estimated from 2003 EH<sub>1</sub> at R = 2.1 AU are at least five orders of magnitude too small to supply the core Quadrantid stream mass  $M_s \sim 10^{13}$  kg (Kasuga and Jewitt, 2015) (see Figure 8.8). Even at q = 0.7 - 0.9 AU a few hundred years ago, sublimation-driven activity from the entire body takes  $\sim 10$ s of years in the whole orbit, being hard to reconcile. In order to form the core Quadrantid stream, we consider episodic replenishment by an unknown process to be more likely.

Comet 96P/Machholz 1 has been suggested as the source of the older, broader part of the Quadrantid complex (Vaubaillon et al., 2019, Section 7.5.2), with meteoroids released 2,000–5,000 years ago (McIntosh, 1990; Babadzhanov and Obrubov, 1992b; Gonczi et al., 1992; Jones and Jones, 1993; Wiegert and

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Brown, 2005; Abedin et al., 2017, 2018). Comet 96P currently has a small perihelion orbit (a = 3.018 AU, e = 0.959,  $i = 59^{\circ}.975$  and q = 0.125 AU from Table 8.1) substantially different from that of 2003 EH<sub>1</sub>. Despite this, calculations show rapid dynamical evolution that allows the possibility that 2003 EH<sub>1</sub> is a fragment of 96P, or that both were released from a precursor body (together defining the Machholz complex: Sekanina and Chodas, 2005). One or both of these bodies can be the parents of the Quadrantid meteoroids (Kańuchová and Neslušan, 2007; Babadzhanov et al., 2008; Neslušan et al., 2013a,b, 2014; Vaubaillon et al., 2019, Section 7.5.2).

A notable dynamical feature of 2003 EH<sub>1</sub> is the strong evolution of the perihelion distance (Wiegert and Brown, 2005; Neslušan et al., 2013b; Fernández et al., 2014). Numerical integrations indicate that the minimum perihelion distance  $q \sim 0.12$  AU ( $e \sim 0.96$ ) occurred ~1500 yr ago (Neslušan et al., 2013b; Fernández et al., 2014), and the perihelion has increased approximately linearly with time from 0.2 AU 1000 years ago to the present-day value of 1.2 AU. At its recently very small (Phaethon-like) perihelion distance, it is reasonable to expect that the surface layers should have been heated to the point of fracture and desiccation (see Section 8.5.4).

As described in Section 8.3.1, the Phaethon-produced Geminid meteoroids ( $q \sim 0.14$  AU) show extreme diversity in their Na abundance, from strong depletion to near sun-like Na content (Kasuga et al., 2005a; Borovička et al., 2005). Curiously, the Quadrantid meteoroids from the core stream are less depleted in Na than the majority of Geminid meteoroids (Koten et al., 2006; Borovička et al., 2010). The interpretation of this observation is unclear (see Section 8.6.2).

The optical colors of 2003  $EH_1$  are similar to, but slightly redder than, those of the Sun. They are most taxonomically compatible with the colors of C-type asteroids (Kasuga and Jewitt, 2015) (see Section 8.5.1).

#### 8.3.3 Capricornids – 169P/NEAT

The  $\alpha$ -Capricornids (CAP/1) are active from late July to early August, usually showing slow (~22 km s<sup>-1</sup>) and bright meteors. The shower, with an ascending nodal intersection of  $\omega = 270^{\circ}$  with the Earth, is expected to be a twin stream also producing a daytime shower (Jenniskens, 2006). Because of the low entry velocities, the meteor plasma excitation temperature is  $T_{ex} \leq$ 3600 K and no trace of high temperature gas (i.e. hot component of  $T_{ex} \sim 10^4$  K) is found (Borovička and Weber, 1996). The metal contents of the  $\alpha$ -Capricornids are unremarkable, being within a factor of a few of the Solar abundance (Borovička and Weber, 1996; Madiedo et al., 2014).

Recently Brown et al. (2010) suggested that the Daytime Capricornids-Sagittariids (DCS/115) are closely dynamically related to the  $\alpha$ -Capricornids. One of the parent body candidates, comet 169P/NEAT, has been identified as the parent body of the  $\alpha$ -Capricornid meteoroid stream by numerical simulations (Jenniskens and Vaubaillon, 2010). The object was discovered as asteroid 2002 EX<sub>12</sub> by the NEAT survey in 2002 (cf. Warner and Fitzsimmons, 2005; Green, 2005) and was re-designated as 169P/NEAT in 2005 after revealing a cometary appearance (Green, 2005). The orbital properties (Levison, 1996,  $T_J = 2.89$ ) and optical observations reveal that 169P/NEAT



**Figure 8.9.** Comet 169P/NEAT in a 600 sec, r'-band image taken at the Dominion Astrophysical Observatory 1.8 m telescope on UT 2010 February 17. The frame size is  $200^{"}$  150<sup>"</sup>. No coma or tail is visible on the object having an FWHM of 2.8". R = 1.43 AU,  $\Delta = 0.47$  AU and  $\alpha = 16.1^{\circ}$ . From Kasuga et al. (2010).

is a  $\sim 4$  km diameter, nearly dormant Jupiter family comet with tiny mass-loss rate  $\sim 10^{-2}$  kg s<sup>-1</sup> (Kasuga et al., 2010) (Figure 8.9).

In the steady state, the stream mass  $M_s \sim 10^{13}$ – $10^{15}$  kg and the age  $\tau \sim 5,000$  yr (Jenniskens and Vaubaillon, 2010) together require a mass-loss rate four orders of magnitude larger than measured in 2010. In the case of 169P, cometary activity (a dust tail) was confirmed in 2005 (almost one orbital period before) and episodic mass-loss should be expected. This is a different case from other asteroidal parents of complexes. Kasuga et al. (2010) used the fractional change in the spin angular velocity to estimate the mass loss from 169P as  $\sim 10^9$ – $10^{10}$  kg per orbit. With the  $M_s$  and  $\tau$ , the conclusion is that the origin of the  $\alpha$ -Capricornids meteoroid stream could be formed by the steady disintegration of 169P.

Other parent body candidates continue to be proposed for the Capricornids. P/2003 T12 (SOHO) was suggested to share a common parent with 169P, following a breakup ~2900 yr ago (Sosa and Fernández, 2015). Comet 169P is a large, almost inactive body (Kasuga et al., 2010), while P/2003 T12 seems to be a very small comet, with a sub-km radius nucleus (Sosa and Fernández, 2015) accompanied by dust-tails in near-Sun STEREO-B observations (Hui, 2013). The orbit of 2017 MB<sub>1</sub> was suggested to resemble that of the  $\alpha$ -Capricornids meteor shower (Wiegert et al., 2017). 2017 MB<sub>1</sub> has not been reported to show any sign of mass-loss activity.

#### 8.3.4 Taurid Complex – 2P/Encke

The Taurid meteor shower includes the Northern, the Southern and other small branches (Vaubaillon et al., 2019, Section 7.5.5), possibly originating from more than one parent body. The Taurids show protracted, low-level activity with many fireballs from September to December, peaking in early November every year. The Taurid meteoroid complex has been suggested to be formed by a disrupted giant comet (40 km-sized)  $10^4$  years ago (Clube

and Napier, 1984, 1987; Asher et al., 1993), although the very recent break-up of such a large (i.e., rare) body is statistically unlikely. Comet 2P/Encke has, for a long time, been considered as the most probable parent of the shower (Whipple, 1940).

The Taurid complex has a dispersed structure with low inclination and perihelia between 0.2 and 0.5 AU. The low inclination of the stream orbit enhances the effect of planetary perturbations from the terrestrial planets (Levison et al., 2006), resulting in the observed, diffuse structure of the complex (Matlovič et al., 2017). Furthermore, 2P has a relatively small heliocentric distance (aphelion is Q = 4.1AU) allowing it to stay mildly active around its orbit, and producing a larger spread in  $q \sim 0.34$  AU, than could be explained from ejection at perihelion alone (Gehrz et al., 2006; Kokotanekova et al., 2017).

An unfortunate artifact of the low inclination of the Taurid complex ( $i \sim 12^{\circ}$ , Table 8.1) is that many near-Earth asteroids are plausible parent bodies based on orbital dynamical calculations (e.g. Asher et al., 1993; Steel and Asher, 1996; Babadzhanov, 2001; Porubčan et al., 2004, 2006; Babadzhanov et al., 2008). As a result, many of the proposed associations are likely coincidental (Spurný et al., 2017; Matlovič et al., 2017). Actually no spectroscopic linkage between 2P/Encke and the 10 potential Taurid-complex NEOs has been confirmed (the latter are classified variously as X, S, Q, C, V, O, and K-types) (Popescu et al., 2014; Tubiana et al., 2015), this being totally different from the case of the Phaethon-Geminid Complex. Here, we focus on the physical properties of the Taurid meteor shower and the most strongly associated parent, 2P/Encke.

Spectroscopic studies of some Taurid meteors find a carbonaceous feature (Borovička, 2007; Matlovič et al., 2017). The heterogeneity (large dispersion of Fe content) and low strength (0.02–0.10 MPa) of the Taurids (Borovička et al., 2019, Section 2.3.4) suggest a cometary origin, consistent with but not proving 2P as a parent (Borovička, 2007; Matlovič et al., 2017). Note that the current perihelion distance ( $q \sim 0.34$  AU, where  $T \sim 480$  K) is too large for strong thermal metamorphism to be expected (Borovička, 2007).

Comet 2P/Encke is one of the best characterized short-period comets, with published determinations of its rotation period, color, albedo and phase function (see reviews; Lamy et al., 2004; Kokotanekova et al., 2017). For example, the effective radius is 2.4 km, the average color indices,  $B - V = 0.73 \pm 0.06$  and  $V - R = 0.39 \pm 0.06$  (e.g., Lowry and Weissman, 2007), the rotational period is about 11 hr or 22 hr (but changing with time in response to outgassing torques (Belton et al., 2005; Kokotanekova et al., 2017, and references therein); with minimum axis ratio 1.4 (Fernández et al., 2000; Lowry and Weissman, 2007; see also Lamy et al., 2004).

Optically, 2P appears dust-poor because optically bright micron and sub-micron -sized dust particles are underabundant in its coma (Jewitt, 2004a). However, the dust / gas ratio determined from thermal emission is an extraordinary  $\mu \sim 30$ , suggesting a dust-rich body (Reach et al., 2000; Lisse et al., 2004) compared to, for example, Jupiter family comet 67P/Churyumov-Gerasimenko, where  $\mu = 4 \pm 2$  (Rotundi et al., 2015). The total mass loss is 2–6 ×10<sup>10</sup> kg per orbit, mostly in the form of large particles that spread around the orbit and give rise to 2P's thermal dust trail as the source of Taurid meteor showers (Asher and Clube, 1997; Reach et al., 2000)



**Figure 8.10.** The 24  $\mu$ m infrared image of comet 2P/Encke obtained in 2004 June by the Spitzer Space Telescope. The image field of view is about 6' and centered on the nucleus. The near horizontal emission is produced by recent cometary activity, and the diagonal emission across the image is the meteoroid stream along the orbit (Kelley et al.,2006; see also Reach et al., 2007). Courtesy NASA/JPL-Caltech/M. Kelley (Univ. of Minnesota). (A black and white version of this figure will appear in some formats. For the colour version, please refer to the plate section.)

(see Figure 8.10). The entire structured stream mass,  $M_s \sim 10^{14}$  kg (Asher et al., 1994), must have been released over the last  $\tau \sim 5,000 - 20,000$  years (the dynamical lifetime of the stream, cf. Whipple, 1940; Babadzhanov and Obrubov, 1992a; Jenniskens, 2006). This gives  $dM_s/dt \sim M_s/\tau = 200-$ 600 kg s<sup>-1</sup>, a few times larger than the mass loss rates typically reported for active Jupiter family comets. Various small near-Earth objects and some meteorite falls have been linked with the orbit of the stream as potentially hazardous (Brown et al., 2013; Olech et al., 2017; Spurný et al., 2017).

#### 8.3.5 Sekanina's (1973) Taurids-Perseids – Icarus

The Icarus asteroid family was reported as the first family found in the near-Earth region, which dynamically relates asteroids 1566 Icarus, 2007 MK<sub>6</sub> and Sekanina's (1973) Taurid-Perseid meteor shower (Ohtsuka et al., 2007) (see Figure 8.11).

Near-Earth Apollo asteroid 1566 Icarus (= 1949 MA) was discovered in 1949, having distinctive small q = 0.19 AU and high  $i = 23^{\circ}$  (Baade et al., 1950). The object has diameter  $D_e \sim 1$  km (e.g. table 1, from Chapman et al., 1994), a moderately high albedo of 0.30–0.50 (cf. Gehrels et al., 1970; Veeder et al., 1989; Chapman et al., 1994; Nugent et al., 2015) and a short rotational period, ~ 2.273 hr (e.g. Miner and Young, 1969) (see also Harris and Lagerros, 2002). A reflection spectrum close to Q- or V-type asteroids is found (Gehrels et al., 1970; Hicks et al., 1998; Dundon, 2005) (see Section 8.5.1).



**Figure 8.11.** Dynamical evolution process of 1566 Icarus and 2007 MK<sub>6</sub> in JTD. The orbital elements ( $q, a, e, \omega, \Omega$  and i) and the  $C_1$  and  $C_2$  integrals are plotted (cf. Table 8.1). Time-shifting is only  $\sim 1,000$  yr. From Ohtsuka et al. (2007).

On the other hand, near-Earth asteroid 2007 MK<sub>6</sub> (= 2006 KT<sub>67</sub>) was discovered in 2007 (Hill et al., 2007). Assuming an albedo like that of Icarus, then 2007 MK<sub>6</sub> is ~ 180 m in diameter as computed from the absolute magnitude H = 20.3(MPO386777) (see Table 8.2). The breakup hypothesis from Icarus, if true, could be due to near a critical rotation period and thermal stress induced at small  $q \sim 0.19$  AU (subsolar temperature ~ 900 K), which might be related to the production of the meteoroid stream (see Section 8.5.2). The Taurid-Perseid meteoroids can be dynamically related with the Icarus asteroid family (D<sub>SH</sub> ~ 0.08), speculated to cross the Earth's orbit (Sekanina, 1973). The rare detection of the Taurid-Perseid meteor shower may result from the intermittent stream (swarm) due to very limited dust supply phase from the parent body.

#### **8.4 Possible Complexes**

Here, we describe two examples of less well-characterized complexes suspected to include stream branches and one or more parent bodies.

#### 8.4.1 Phoenicids – Comet D/1819 W1 (Blanpain)

The Phoenicid meteor shower was first reported more than 50 years ago, on December 5 in 1956 (Huruhata and Nakamura, 1957). The lost Jupiter-family comet D/1819 W1 (289P/Blanpain) was promptly proposed as the potential source



**Figure 8.12.** 2003 WY<sub>25</sub> (=289P/Blanpain) imaged in R-band, 500 sec integration at R = 1.6 AU,  $\Delta = 0.7$  AU and  $\alpha = 20.7^{\circ}$  using UH2.2 on UT 2004 March 20. A faint coma is apparent, extending to the southeast. Arrows show the directions of the negative heliocentric velocity vector (marked "-V") and the anti-solar direction ("AS"). The estimated radius ~ 160 m is the smallest active cometary parent ever observed. From Jewitt (2006). (A black and white version of this figure will appear in some formats. For the colour version, please refer to the plate section.)

(Ridley, 1957, reviewed in Ridley, 1963). In 2003, the planetcrossing asteroid 2003 WY25 was discovered (Ticha et al., 2003), with orbital elements resembling those of D/Blanpain (Foglia et al., 2005; Micheli, 2005). The related Phoenicids' activity in 1956 and 2014 (Watanabe et al., 2005; Jenniskens and Lyytinen, 2005; Sato et al., 2017; Tsuchiya et al., 2017), raised the possibility that 2003 WY<sub>25</sub> might be either the dead nucleus of D/Blanpain itself or a remnant of the nucleus surviving from an earlier, unseen disintegration. Jewitt (2006) optically observed asteroid 2003 WY25, finding the radius of 160 m (an order of magnitude smaller than typical cometary nuclei), and revealing a weak coma consistent with mass-loss rates of  $10^{-2}$  kg s<sup>-1</sup> (Figure 8.12). The latter is too small to supply the estimated 10<sup>11</sup> kg stream mass on reasonable timescales (≤10,000 yrs, Jenniskens and Lyytinen, 2005). Indeed, the mass of 2003 WY<sub>25</sub> (assuming density 1000 kg m<sup>-3</sup> and a spherical shape) is  $\sim 2 \times 10^{10}$  kg, smaller than the stream mass. Either the stream was produced impulsively by the final stages of the break-up of a once much larger precursor to 2003 WY<sub>25</sub>, or another parent body may await discovery (Jewitt, 2006).

#### 8.4.2 Andromedids - Comet 3D/Biela

The Andromedid meteor shower (AND/18) was firstly reported in 1798 (Hawkins et al., 1959). The dynamics were linked with Jupiter-family comet 3D/Biela (Kronk, 1988, 1999). The shower is proposed to result from continuous disintegration of 3D/Biela from 1842 until its sudden disappearance in 1852, resulting in irregular meteor shower appearances (e.g. Olivier, 1925; Cook, 1973; Jenniskens and Vaubaillon, 2007). The estimated stream mass is  $10^{10}$  kg (Jenniskens and Lyytinen, 2005), however, the absence of parent candidates means that little can be determined about the production of the meteoroids. Nonetheless,



**Figure 8.13.** Color plots of V - R vs. B - V showing parent bodies (filled symbols) from Table 8.2, and Tholen taxonomic classifications (Tholen, 1984), as tabulated by Dandy et al. (2003). The color of the Sun (red circle) is also plotted. (A black and white version of this figure will appear in some formats. For the colour version, please refer to the plate section.)

the Andromedid meteor shower was actually detected by radar in 2011 and is numerically predicted to appear in the coming decades (Wiegert et al., 2013).

#### 8.5 Parent Bodies

In this section we discuss group physical properties of the parent bodies (cf. Table 8.2). Most objects (e.g. 3200 Phaethon, 2005 UD, 1999 YC, 2003 EH<sub>1</sub> and 169P) show point-like images (Figures 8.3, 8.5, 8.6, 8.8, 8.9) from which we can be confident that the measured properties refer to the bare objects (or nuclei) alone. However, 2P/Encke, 2003 WY<sub>25</sub> and some other comets may sometimes be active (e.g. Figures 8.10, 8.12) leading to potential confusion between the properties of the nucleus and the near-nucleus comae.

#### 8.5.1 Colors

Figures 8.13 and 8.14 show distributions of the colors of the parent bodies from Table 8.2. In addition, Tholen taxonomy classes are plotted from photometry of NEOs from Dandy et al. (2003). Here, 2P is not included because of the coma contamination suggesting mild activity during the whole orbit.

The asteroids of the PGC (3200 and 2005 UD, 1999 YC) show colors from nearly neutral to blue. Asteroids 3200 Phaethon and 2005 UD are classified as B-type asteroids (cf. Dundon, 2005; Jewitt and Hsieh, 2006; Kinoshita et al., 2007; Licandro et al., 2007; Kasuga and Jewitt, 2008; Jewitt, 2013; Ansdell et al., 2014), while 1999 YC is a C-type asteroid (Kasuga and Jewitt, 2008). Heterogeneity on the surfaces of Phaethon and 2005 UD may be due to intrinsically inhomogeneous composition, perhaps affected by hydration processes (Licandro et al., 2007), and by thermal alteration (Kinoshita et al., 2007). The rotational color variation of 2005 UD shows B-type for 75% of the rotational phase but C-type for the remainder (Kinoshita et al., 2007). The colors of the PGC objects are broadly consistent with being neutral-blue.



**Figure 8.14.** The same as Figure 8.13 but in the R - I vs. V - R color plane. The color of the Sun is exactly coincident with that of 2005 UD. (A black and white version of this figure will appear in some formats. For the colour version, please refer to the plate section.)

Optical colors of 2003 EH<sub>1</sub> are taxonomically compatible with those of C-type asteroids (Kasuga and Jewitt, 2015) (Figures 8.13 and 8.14). The V-R color  $(0.39 \pm 0.01)$  is similar to that of 96P ( $V - R = 0.40 \pm 0.03$ , from Licandro et al., 2000; Meech et al., 2004). We note that the optical colors of 2003 EH<sub>1</sub> are significantly less red than the average colors of cometary nuclei (Jewitt, 2002; Lamy et al., 2004). This could be a result of past thermal processing when the object had a perihelion far inside Earth's orbit. Indeed, the weighted mean color of 8 near-Sun asteroids having perihelion distances  $\leq 0.25$  AU (subsolar temperatures  $\geq 800$  K) is V-R =  $0.36 \pm 0.01$  (Jewitt, 2013), consistent with the color of EH<sub>1</sub> (cf. Section 8.5.4).

The optical colors measured for 169P/NEAT are less red than D-type objects, as found in normal cometary nuclei and Trojans, but similar to those of T- and X- type asteroids (Figures 8.13 and 8.14). The near-infrared spectrum measurement (0.8–2.5  $\mu$ m) classified 169P as a T-type asteroid based on the Bus taxonomy with  $p_v = 0.03 \pm 0.01$  (DeMeo and Binzel, 2008). The T-type asteroids represent slightly redder-sloped visible wavelength spectra than those of C-type. Perhaps a refractory rubble mantle has formed on the 169P surface, driven by volatile sublimation, and red matter has been lost (Jewitt, 2002).

Asteroid 1566 Icarus is taxonomically classified as a Q- or V-type (Figures 8.13 and 8.14). These types suggest thermal evolution (perhaps at the level of the ordinary chondrites) relative to the more primitive carbonaceous chondrites. The formation process of the associated complex is unknown, but we speculate that processes other than comet-like sublimation of ice are responsible.

# 8.5.2 Dust Production Mechanism: Example of 1566 Icarus & 2007 MK<sub>6</sub>

Here we consider possible dust production mechanisms from asteroidal parents. Figure 8.15 shows a diameter – rotation plot compiled from the data in Table 8.2. The rotational period of 1566 Icarus ( $P_{\text{rot}} = 2.273$  hr) is near the spin barrier period of ~2.2 hr (Warner et al., 2009; Chang et al., 2015). Asteroids



**Figure 8.15.** Diameter versus rotational period for asteroids (dots) and the parent bodies of meteoroid streams (large circles). The asteroid data are taken from Chang et al. (2015) while the parent body parameters are listed in Table 8.2. The horizontal dotted line shows the spin barrier period of  $\sim$ 2.2 hr (e.g. Warner et al., 2009) and asteroid 1566 Icarus rotates nearby.

rotating near or faster than this barrier are presumed to have been destroyed when centrifugal forces have overcome the gravitational and cohesive forces binding them together (Pravec et al., 2008).

The aftermaths of recent and on-going asteroid break-up have been identified observationally (e.g. P/2013 R3, Jewitt et al., 2014, 2017) and studied theoretically (Hirabayashi et al., 2014). Additionally, different mechanisms can operate together. Rotational instability in P/2013 R3, for instance, might have been induced by YORP torques, or by outgassing torques from sublimated ice, or by a combination of the two. Thermal disintegration, electrostatic ejection and radiation pressure sweeping may all occur together on near-Sun object 3200 Phaethon (Jewitt and Li, 2010). Figure 8.15 shows that 1566 rotates near the  $\sim 2.2$  hr spin barrier period, implying a rotational breakup in the past. Both 1566 and its possible fragment 2007 MK<sub>6</sub> have small perihelia ( $q \sim 0.19$  AU). We consider rotational instability as a possible cause of their past separation.

In principle, rotation rates of asteroids can be accelerated to critical limits by torques exerted from solar radiation through the YORP effect (Vokrouhlický et al., 2015). The YORP e-folding timescale of the spin,  $\tau_{\rm Y}$ , is estimated from the ratio of the rotational angular momentum, L, to the torque, T. The relation may be simply expressed as  $\tau_{\rm Y} \sim K D_e^2 R_h^2$  (Jewitt et al., 2015), where K is a constant,  $D_e$  is the asteroid diameter (km) and  $R_h$  is the heliocentric distance (AU). The value of constant K is sensitive to many unknown parameters (the body shape, surface texture, thermal properties and spin vector of the asteroid and so on), but can be experimentally estimated from published measurements of YORP acceleration in seven wellcharacterized asteroids (table 2 from Rozitis and Green, 2013). Scaling K to the bulk density of 1566 Icarus  $\rho = 3400 \text{ kg m}^{-3}$ (V-type or ordinary chondrite from Wilkison and Robinson, 2000; Britt et al., 2002) and its rotation period  $P_{rot} = 2.273$  hr, we find  $K \sim 7 \times 10^{13}$  s km<sup>-2</sup> AU<sup>-2</sup>. The approximation is represented as (cf. equation (3) of Jewitt et al., 2015),

$$\tau_Y (\text{Myr}) \approx 2 \left[ \frac{D_e}{1 \text{ km}} \right]^2 \left[ \frac{R_h}{1 \text{ AU}} \right]^2.$$
 (8.4)

For 1566 with  $D_e = 1$  km orbiting at  $R_h \sim 1.08$  AU, Equation (8.4) gives  $\tau_{\rm Y} \approx 2$  Myr. This is two orders of magnitude smaller than the collisional lifetime of 1-km near-Earth asteroids (Bottke et al., 1994), suggesting that YORP torque spin-up is plausible.

Asteroids rotating faster than the spin-barrier cannot be held together by self-gravitation only, but require cohesive strength (e.g. Scheeres et al., 2010). The cohesive strength at rotational breakup of a body can be estimated by the dispersed fragmental sizes, initial separation speed, and the bulk density using equation (5) of Jewitt et al. (2015),

$$S \sim \rho \left( \frac{D'_e}{D_e} \right) (\Delta v)^2.$$
 (8.5)

Both fragmental asteroids (1566 and 2007 MK<sub>6</sub>) are assumed to have the same bulk density  $\rho$ ,  $D_e$  and  $D'_e$  are diameters of 1566 and 2007 MK<sub>6</sub> respectively (see Table 8.2), and  $\Delta v$  is the excess velocity of escaping fragments, assumed comparable to the escape velocity from 1566. Adopting the same value for  $\rho$ (see previous paragraph) and substituting  $(D'_e/D_e) = 0.18$  (the diameter ratio between MK<sub>6</sub> and 1566), and  $\Delta v = 0.69$  m s<sup>-1</sup>, we find  $S \sim 290$  N m<sup>-2</sup>.

This small value is comparable to strengths  $\sim 10-100$  N m<sup>-2</sup> modeled by a rubble-pile asteroid bounded by weak van der Waals forces (reviewed in Scheeres and Sánchez, 2018), but more than five orders of magnitude smaller than the values of typical competent rocks ( $10^7 - 10^8$  N m<sup>-2</sup>). A rotational breakup origin of 1566 and MK<sub>6</sub> is possible provided they have a weak, rubble-pile structure, as is thought likely for a majority of kilometer-sized asteroids as a result of past, non-destructive impacts.

Several processes could eject dust from the surface of 1566. Firstly, thermal disintegration can be induced by thermal expansion forces that make cracks on the surfaces of asteroids and produce dust particles. The characteristic speeds of dust particles produced by disintegration can be derived by conversion from thermal strain energy into kinetic energy of ejected dust particles. The necessary conversion efficiency,  $\eta$ , is given by (cf. equation (3) of Jewitt and Li, 2010)

$$\eta \sim \left(\frac{v_e}{\alpha \,\delta T}\right)^2 \left(\frac{\rho}{Y}\right),\tag{8.6}$$

where,  $v_e = 0.69 \text{ m s}^{-1}$  is the escape velocity from 1566,  $\alpha \sim 10^{-5} \text{ K}^{-1}$  is the characteristic thermal expansivity of rock (Lauriello, 1974; Richter and Simmons, 1974),  $\delta T \sim 450 \text{ K}$  is the temperature variation between the q and Q, and  $Y = (1-10) \times 10^{10} \text{ N m}^{-2}$  are typical Young's moduli for rock (Pariseau, 2006, p. 474). Again taking  $\rho = 3400 \text{ kg m}^{-3}$ , we find  $\eta \gtrsim 0.1-1\%$  is needed for the velocities of ejected dust particles to surpass the escape velocity. This very small value of conversion efficiency is sufficient for most dust particles produced by thermal disintegration to be launched into interplanetary space.

Secondly, electrostatic forces caused by photoionization by solar UV can eject small particles. The critical size for a 1 km-diameter asteroid is  $a_e \lesssim 4 \ \mu m$  (equation (12) Jewitt et al., 2015). Millimeter-sized particles cannot be electrostatically

launched and this process may contribute little or nothing to meteoroid stream formation.

Finally, radiation pressure sweeping can remove small particles from an asteroid once they are detached from the surface by another process (i.e. once the surface contact forces are temporarily broken). Radiation pressure sweeping is most effective at small heliocentric distances. The critical size to be swept away,  $a_{rad}(\mu m)$ , is estimated by equating the net surface acceleration (gravitational and centripetal) with the acceleration due to radiation pressure, given by equation (6) of Jewitt and Li (2010)

$$a_{\rm rad} \sim \frac{3 g_{\odot}}{2 \pi R_{\rm AU}^2 f^{1/2} D_e} \left[ \frac{G \rho}{f^2} - \frac{3 \pi}{P_{\rm rot}^2} \right]^{-1},$$
 (8.7)

where  $g_{\odot}$  is the gravitational acceleration to the Sun at 1 AU,  $R_{AU}$  is the heliocentric distance expressed in AU, f is the limit to the axis ratio (=a/b), G is the gravitational constant. We substitute  $g_{\odot} = 0.006 \text{ m s}^{-2}$ ,  $R_{AU} = 0.187$  (Table 8.1), f = 1.2(Table 8.2),  $G = 6.67 \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$  and adopt the same values of  $D_e$ ,  $\rho$  and  $P_{\text{rot}}$  (as when evaluating Equation (8.4)) into Equation (8.7), then obtain  $a_{\text{rad}} \sim 4,500 \ \mu\text{m} \approx 5 \text{ mm}$ . This size is large enough to contribute meteoroid-sized particles to a stream (see Section 8.6.3).

In summary, asteroid 1566 Icarus is a possible product of rotational breakup and, given its small perihelion distance, potentially experiences a mass loss process similar to those inferred on Phaethon. Near-perihelion observations of 1566 and/or 2007 MK<sub>6</sub> may indeed show Phaethon-like mass-loss.

#### 8.5.3 End State

Active objects on comet-like orbits with  $T_J < 3.08$  (Table 8.1 and Figure 8.1) are presumed to be potential ice sublimators. The timescales for the loss of ice from a mantled body  $\tau_{dv}$ , for the heat propagation into the interior of a body  $\tau_c$ , and dynamical lifetime of short-period comets  $\tau_{sp} \sim 10^5 - 10^6$  yr (Duncan et al., 2004) can be compared to predict an object's end state (Jewitt, 2004b).

The  $\tau_{dv}$  is calculated using  $\rho_n D_e/2f(dm/dt)$ , where  $\rho_n = 600 \text{ kg m}^{-3}$  is the cometary bulk density (Weissman et al., 2004) and f = 0.01 is the mantle fraction (A'Hearn et al., 1995). The orbit with averaged  $\bar{a} \sim 2.7$  AU and  $\bar{e} \sim 0.8$  from the seven objects has a specific mass loss rate of water ice  $dm/dt \leq 10^{-4}$  kg m<sup>-2</sup> s<sup>-1</sup> (figure 6 in Jewitt, 2004b). Then we find  $\tau_{dv} \geq 10^4 D_e$  in yr, where  $D_e$  is an effective diameter in km (Table 8.2). The  $\tau_c \sim 8.0 \times 10^4 D_e^2$  in yr is derived from the equation of heat conduction given by  $D_e^2/4\kappa$ , where  $\kappa = 10^{-7}$  m<sup>2</sup> s<sup>-1</sup> is the assumed thermal diffusivity of a porous object. The critical size of object to form an inactive, devolatilized surface is constrained by the relation  $\tau_{dv} \lesssim \tau_c$ , which gives  $D_e \gtrsim 0.13$  km. Likewise, the size capable of containing ice in the interior of a body for the dynamical lifetime is given by the relation  $\tau_c \gtrsim \tau_{sp}$ , which gives  $D_e \gtrsim 1.1$  km.

Most comet-like objects are expected to be dormant, with ice depleted from the surface region, but potentially still packed deep inside. We note that 2003 WY<sub>25</sub> of the Phoenicids is on its way to the dead state due to its small size ( $D_e = 0.32$  km). The  $\tau_c \sim 8,000$  yr suggests that solar heat can reach into the body core approximately one or two orders of magnitude sooner than

Table 8.3. Closest approach to the Sun by the Lidov-KozaiMechanism

Complex	Object	$e_{\max}^{a}$	$q_{\min}^{b}$	$T_{\text{peak}}^{c}$	Ref. <sup>d</sup>
Geminids	Phaethon	0.90	0.13	1100	~0.13 <sup>1</sup>
	2005 UD	0.90	0.13	1100	0.13-0.141
	1999 YC	0.89	0.16	1000	-
Quadrantids	2003 EH1	0.96	0.12	1100	$\sim 0.12^{2}$
	96P/Machholz 1	0.99	0.03	2300	$0.03 - 0.05^3$
Taurids-	1566 Icarus	0.85	0.16	1000	$\sim 0.17^{4}$
Perseids	2007 MK <sub>6</sub>	0.85	0.16	1000	$\sim 0.17^{4}$

Notes: Near-Sun objects have perihelia  $\leq 0.25$  AU.

- <sup>a</sup> Maximum eccentricity (Equation (8.8))
- <sup>b</sup> Minimum perihelion distance (AU) estimated by  $\simeq a(1 e_{\text{max}})$ , where *a* is from Table 8.1.
- <sup>c</sup> Peak temperature at  $q_{\min}$  (K)
- <sup>d</sup> Referred minimum perihelion distance (AU) from numerical integrations and analytical methods
- <sup>1</sup> Ohtsuka et al. (1997, 2006) (cf. Figure 8.4)
- <sup>2</sup> Neslušan et al. (2013b); Fernández et al. (2014) (see Section 8.3.2)
- <sup>3</sup> Bailey et al. (1992); Sekanina and Chodas (2005); Abedin et al. (2018)
- <sup>4</sup> Ohtsuka et al. (2007) (cf. Figure 8.11)

the end of the dynamical lifetime. The core temperature around the orbit (Jewitt and Hsieh, 2006),  $T_{\rm core} \sim 180$  K, exceeds the sublimation temperature of water ice 150 K (Yamamoto, 1985). The extremely weak activity of 2003 WY<sub>25</sub> may portend its imminent demise (cf. Section 8.4.1).

#### 8.5.4 Lidov-Kozai Mechanism

The Lidov-Kozai mechanism<sup>2</sup> works on the secular dynamics of small solar system objects. Large-amplitude periodic oscillations of the *e* and *i* (in antiphase) are produced, whereas the *a* is approximately conserved, while the  $\omega$  librates around  $\pi/2$  or  $3\pi/2$  if  $C_2 < 0$  or circulates if  $C_2 > 0$  (Kozai, 1962; Lidov, 1962).

Perihelia can be deflected into the vicinity of the Sun by this mechanism (on timescale ~1000s of years), perhaps causing physical alteration (or even breakup) due to enormous solar heating (Emel'yanenko, 2017). We find all parent bodies stay in the circulation region ( $C_2 > 0$ , see Table 8.1). The minimum perihelion distance  $q_{\min}$  can be computed using maximum eccentricity,  $e_{\max}$ , given by equations (5) and (28) of Antognini (2015)

$$e_{\max} = \sqrt{1 - \frac{1}{6} \left(\zeta - \sqrt{\zeta^2 - 60 C_1}\right)},$$
 (8.8)

where  $\zeta = 3 + 5(C_1 + C_2)$  (equation (31) of Antognini, 2015),  $C_1$  and  $C_2$  are from Table 8.1. With the obtained  $q_{\min}$ , we find the Geminids (PGC), Quadrantids and Sekanina's (1973) Taurid-Perseids complexes are near-Sun objects (Table 8.3).

<sup>&</sup>lt;sup>2</sup> In Chapter 7 (Vaubaillon et al., 2019) the Lidov-Kozai mechanism focuses on secular changes in e, i and  $\omega$  to find how e and  $\omega$  relate to whether an orbit intersects Earth's orbit to produce a meteor shower.

Among them, 2003 EH<sub>1</sub> turns itself into a near-Sun object with  $q_{\min} \sim 0.12$  AU (cf. Section 8.3.2), albeit  $q \sim 1.2$  AU at present (see Figure 8.1). The peak temperature  $\gtrsim 1000$  K is similar to that experienced by Phaethon (cf. 1566 Icarus), and could likewise cause strong thermal and desiccation stresses, cracking and alteration in EH<sub>1</sub>, with the release of dust (Jewitt and Li, 2010; Molaro et al., 2015; Springmann et al., 2018). This example reminds us that, even in objects with q presently far from the Sun, we cannot exclude the action of extreme thermal processes in past orbits.

#### 8.6 Meteors and Streams

#### 8.6.1 Na Loss: Thermal

Sodium loss in Geminid meteors results from the action of a thermal process in or on the parent body, Phaethon (Section 8.3.1). Čapek and Borovička (2009) calculated the timescale for thermal depletion of Na from an assumed initial solar value down to 10% of solar abundance in Geminid meteoroids during their orbital motion in interplanetary space (using assumed albite (NaAlSi<sub>3</sub>O<sub>8</sub>) and orthoclase (KAlSi<sub>3</sub>O<sub>8</sub>) compositions). With particle diameters  $\geq$  mm-scale, they found depletion timescales  $\gtrsim 10^4-10^5$  yr (Figure 8.16), some one to two orders of magnitude longer than the stream age of  $\lesssim 10^3$  yr. On the other hand, the dynamical lifetime of Phaethon, while very uncertain, is estimated to be  $\sim 3 \times 10^7$  yr (de León et al., 2010). This is surely long compared to the age of the Geminid stream and long enough for Na to be thermally depleted from Phaethon.

In principle, other processes might affect the sodium abundance. Sputtering by the solar wind, photon-stimulated Na desorption (on Mercury and the Moon) (McGrath et al., 1986; Potter et al., 2000; Killen et al., 2004; Yakshinskiy and Madey, 2004) and cosmic ray bombardment (Sasaki et al., 2001) have



**Figure 8.16.** Timescale for escape of 90% of initial Na content from Geminid meteoroids in the stream, as a function of the meteoroid size. The low diffusion data for Na in orthoclase was used as a limit for the slowest loss, while the faster diffusion data for Na in albite ( $10 \times$  higher than for orthoclase) is considered as a more realistic value for the Geminids. The time interval in the shaded region corresponds to the estimated age of the Geminid meteoroid stream (1,000–4,000 yr). From Čapek and Borovička (2009).

been well studied. These processes act only on the surface, and are inefficient in removing Na from deeper layers (Čapek and Borovička, 2009).

#### 8.6.2 Abundances versus Intensity Ratios

In the interpretation of meteor spectra, two types of evaluation methods are employed in the literature. Some investigators calculate elemental abundances while others use simple line intensity ratios. Here we describe advantages and disadvantages hidden in both methods.

Elemental abundances are quantitatively utilized for comparing with those of meteor showers and the solar abundances (Anders and Grevesse, 1989; Lodders, 2003). The derivation of abundances is influenced by the complicated physics of the ionized gas at the head of the meteor (Borovička, 1993; Kasuga et al., 2005b). For example, the Saha equation is needed to calculate the neutral versus ion balance, but this depends on the assumption of a plausible excitation temperature,  $T_{ex}$ , for the emitting region. This is particularly important for Na, which has a smaller first ionization energy ( $\approx 5.1 \text{ eV}$ ) compared to other species (e.g. Mg:  $\approx$  7.6 eV, Fe:  $\approx$  7.9 eV). The selection of the appropriate  $T_{ex}$  is problematic. Fireball-like spectra have been suggested to be the combination of some thermal components with typical  $T_{ex} \sim 5,000, 8,000$  and 10,000 K, respectively (Borovička, 1993; Kasuga et al., 2005b, 2007b). Borovička (1993) considered Ca II lines in the hot component  $(T_{ex} \sim$ 10,000 K) and estimated electron density from the radiating volume of the meteor in the direction of its flight. This implies that Call may not reflect the actual electron density from their spectral emission profile. In Kasuga et al. (2005b), on the other hand, Ca II is taken from the main component ( $T_{ex} \sim 5,000$  K) instead of the hot one, and they derive electron density reflected upon the measured spectral profile.

The definition of hot component theory has to satisfy the equality of total metal abundances (Ca/Mg) and pressure of the radiant gas between the main and the hot components (Borovička, 1993). However, the relation does not fit in most spectral data (e.g. Leonids). The Saha function is used to verify the definition but mostly finds negative values of electron density, which is clearly unrealistic (Kasuga et al., 2005b). This proves that the original hot component theory may go against its own definition (Borovička, 1993). Kasuga et al. (2005b) suggest that the Ca II lines do not always belong to the hot component, but instead to the main component. Plausibility is also found in their low excitation energies (Ca II  $\approx 3.1$  eV), which are compatible with those of other neutral metals (e.g. Na  $I \approx 2.1 \text{ eV}$ ) identified in the main component (Kasuga et al., 2007c). On the other hand, the hot component primarily consists of species with high excitation energies  $\gtrsim 10$  eV. Accordingly the Ca II lines are most likely to belong to the main component.

Given the difficulties in calculating absolute abundances, many researchers have employed simple line intensity ratios (e.g. Borovička et al., 2005). The method analyzes neutral atomic emission lines of Na I, Mg I, and (weak) Fe I only. Note that the intensity ratios do not directly reflect the elemental abundances due to no consideration for excitation properties of the elements and ions, including electron densities (e.g. Borovička, 2001; Borovička et al., 2005; Koten et al., 2006). Laboratory spectroscopic experiment proves that intensity ratios are not informative of the abundance and cannot be used to determine the meteorite analogue (Drouard et al., 2018). Line ratios can be used, at best, to study the trend of elemental content in meteors which happen to possess similar physical conditions (including similar entry velocities, similar strengths and similar excitation temperatures). Line intensity ratios can suggest the trend of elemental content in meteor showers but cannot provide the abundances.

#### 8.6.3 Meteoroid Streams

Physical properties of meteoroids in meteoroid streams or debris in dust trails whose orbits do not intersect that of the Earth can be revealed by thermal and optical observations. The streams (or trails) and meteoroids mostly consist of mm- to cm-scale compact aggregates, as estimated from the ratio of solar radiation pressure to solar gravity of  $10^{-5} \leq \beta \leq 10^{-3}$  (e.g. Sykes and Walker, 1992; Reach et al., 2000, 2007; Ishiguro et al., 2002; Sarugaku et al., 2015).

Meteor ablation models in the Earth atmosphere are also available to estimate the size of meteoroids (Bronshten, 1983; Ceplecha et al., 1998). The classical models find typical meteors are 10  $\mu$ m – 10 cm in size, however, with uncertainties caused by various parameters (e.g. luminous efficiency, ablation coefficient, and fragmentation) which are sensitive to the meteoroid entry speed, tensile strength and brightness (Ceplecha et al., 1998; Babadzhanov, 2002). Faint meteors are estimated to be  $10\mu$ m ~1 mm, but model improvements are needed to better represent fragmentation (Campbell-Brown and Koschny, 2004; Borovička et al., 2007).

#### 8.6.4 Zodiacal Cloud

The zodiacal cloud is a circumsolar disk consisting of small dust particles supplied by comets and asteroids. The total mass is  $\sim 4 \times 10^{16}$  kg, most (~90%?) of which is supplied by JFC disruptions and the rest by Oort cloud comets ( $\lesssim 10\%$ ) and asteroids ( $\lesssim 5\%$ ) (Nesvorný et al., 2010, 2011; Jenniskens, 2015). The supply rate needed to maintain the zodiacal cloud in steady-state is  $10^3$  to  $10^4$  kg s<sup>-1</sup> (Nesvorný et al., 2011).

The fate of dust particles released from comets into the zodiacal cloud is traceable (cf. Grun et al., 1985). Sub-micron particles, with  $\beta > 0.5$ , are immediately blown out of the solar system on hyperbolic orbits by radiation pressure and are referred-to as  $\beta$ -meteoroids (Zook and Berg, 1975; Grun et al., 1985). The JFCs frequently disintegrate when near perihelion and form dust trails or meteoroid streams, mainly consisting of mm- to cm-sized dust particles (see Section 8.6.3). The collisional lifetime of mm-scale particles at 1 AU is estimated to be  $\tau_{\rm col} \gtrsim 10^5$  yr, modeled with the orbital distribution of sporadic meteors measured by radar (Nesvorný et al., 2011) (cf.  $\tau_{\rm col} \sim 10^4$  or  $10^5$  yr, Grun et al., 1985; Soja et al., 2016; Yang and Ishiguro, 2018). On the other hand, Poynting-Robertson (P-R) and solar-wind drag cause dust particles to spiral down to the Sun. The P-R drag timescale,  $\tau_{PR}$ , to drift down from  $\bar{a} \sim 2.7$  AU with  $\bar{e} \sim 0.8$  (objects with  $T_J < 3.08$ , see Table 8.1 and Figure 8.1) to 1 AU around the Earth orbit ( $e \sim 0.017$ ) is calculated using the equation in Wyatt and Whipple (1950) (cf. Dermott et al., 2002),

$$\tau_{\rm PR} \simeq \frac{730}{\beta(1+sw)} \,\,\mathrm{yr},\tag{8.9}$$

where  $\beta \lesssim 10^{-3}$  (see Section 8.6.3) for dust particles of radius  $\gtrsim 1$  mm, with bulk density of 600 kg m<sup>-3</sup> (Weissman et al., 2004), and sw = 0.3 is efficiency of solar-wind drag on a particle normalized to the P-R drag effect (Gustafson, 1994). The estimated P-R drag lifetime is  $\tau_{\rm PR} \gtrsim 6 \times 10^5$  yr. This being somewhat longer than  $\tau_{\rm col}$ , the mm-scale dust particles are subject to collisional disruption while spiraling down to the Sun by P-R drag. As a result of competition between these two effects (loss to Poynting-Robertson at small sizes, loss to collisional shattering at large sizes), the 100–200  $\mu$ m dust particles are the most abundant in the zodiacal cloud (Love and Brownlee, 1993; Grun et al., 1985; Ceplecha et al., 1998; Nesvorný et al., 2010).

Nesvorný et al. (2011) noted that the collisional lifetime for mm-scale particles is long compared to the plausible lifetimes of most meteoroid streams ( $\lesssim 10^4$  yr). They speculate that cmscale particles are sources of smaller dust grains. Centimeterscale particles are also released from JFCs. The sequence may result in a population of mm-sized or smaller particles, which could be more resistant to collisions. Recent meteor observations suggest a relative lack of large particles ( $\sim$ 7 mm) (Jenniskens et al., 2016b), and also suggest that some of these larger particles disappear on timescales  $\sim 10^4$  yr, not from collisions, but from other processes. Moorhead et al. (2017) finds a two-population sporadic meteoroid bulk density distribution suggesting that the physical character of freshly ejected dust particles could be altered over time. As another example, Rosetta dust collectors sampled both very pristine fluffy aggregates and compact particles ( $\gtrsim$  4 cm in diameter) with a possible range of the dust bulk density from 400 to 3000 kg m<sup>-3</sup> (Rotundi et al., 2015). This variety could have resulted from aggregate fragmentation into the denser collected grains as the spacecraft approached, while the packing effect is proposed as a plausible mechanism theory for fluffy dust particles released from comets (Mukai and Fechtig, 1983). The particle size could be reduced on a timescale of  $10^4 - 10^5$  yr, comparable with the meteoroid stream lifetime. The effect makes the bulk density increase from 600 kg  $m^{-3}$ to 3000 kg m<sup>-3</sup>, corresponding to shrinking the particle size approximately down to half. This could be a potential explanation for disappearing larger-scale dust particles in the meteoroid streams.

#### 8.7 Summary and Future Work

In the last decade, a growing understanding of parent bodies and meteoroid streams has been achieved by combining new physical observations and dynamical investigations. Still, even where the associations are relatively clear, most complexes have multiple potential parent bodies, and it remains unclear how the streams were formed.

Observationally, a major challenge is posed by the difficulty of measuring the physical characteristics of parent bodies, most of which are faint by virtue of their small size (typically  $\leq$  a few km). They are also frequently observationally inaccessible because of their eccentric orbits, which cause them to spend most of the time far away near aphelion. Long-term surveillance

of NEOs around their entire orbits might better reveal how and when parent bodies disintegrate and produce debris.

Dynamically, there are at least two challenging problems. One concerns the identification of parent bodies through the comparison of the orbital elements of meteoroids and potential parents by a D-criterion. Such methods work best for parents of young streams, where the effects of differential dynamical evolution are limited. However, in older systems, the dynamical elements have evolved enough to seriously undercut the use of the D-criteria. For this reason, for example, numerous Taurid parent bodies continue to be proposed. A key objective is to find a way to more reliably associate older meteoroid streams with their parent bodies. A second problem is the use of long-term dynamical simulations in which the initial conditions and/or potentially important non-gravitational effects are partly or wholly neglected.

We list key questions to be answered in the next decade.

- Geminids: What process can act on ~1000 yr timescales to produce the Geminid meteoroid stream? Phaethon appears dynamically associated with at least two kilometer-sized asteroids (2005 UD and 1999 YC) suggesting a past breakup or other catastrophe. But the likely timescale for such an event is ≫1000 yr. What caused the breakup and is it related to the Geminids? How many other PGC-related objects await discovery? Are Geminids represented in the meteorite collections and, if so, how can we identify them?
- 2. Quadrantids: Presumed parent 2003 EH<sub>1</sub> is currently inactive, but was recently as close to the Sun as is Phaethon at perihelion. Can residual mass loss in EH<sub>1</sub> be detected? Is the Quadrantid sodium abundance depleted as a result of the previously smaller perihelion? What physical difference is to be found in 96P, which has a near-Sun orbit even now?
- 3. Capricornids: Several parent bodies have been proposed including both active comets and inactive asteroids. Did they originate from a common precursor? Is asteroid 2017 MB<sub>1</sub> related?
- 4. Taurids: The prime parent body is 2P/Encke, but numerous additional parents with diverse properties continue to be proposed (mostly based on the D-criterion). How can we establish the relevance of these other objects to the Taurid stream? Can activity be detected? Is the D-criterion appropriate to judge?
- 5. Sekanina's (1973) Taurid-Perseids: Do 1566 Icarus and 2007 MK<sub>6</sub> share common physical properties? Is the sodium abundance in Taurid-Perseids depleted by solar heating due to the small perihelion?
- 6. Phoenicids, Andromedids and other minor complexes: Fragmentation is expected to produce a wide range of object sizes, with many bodies being too small to have been detected so far. What role can be played in the search for stream-related bodies by upcoming deep sky surveys, like the Large Synoptic Survey Telescope?
- 7. Which is the better index, the D-criterion (e.g.  $D_{SH}$ ) or the dynamical invariants ( $C_1$ ,  $C_2$ )?
- 8. How many near-Sun objects, driven by the Lidov-Kozai mechanism, exist?
- 9. What more can we learn from meteor spectroscopy, particularly of faint meteors?

10. Sporadic meteoroid populations tend to lose large dust particles (sizes  $\gtrsim 7$  mm) on timescales of 10<sup>4</sup> yr. Why?

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